

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Arthur Dale BURNS

Serial No.: 09/603,510

Filed: June 26, 2000

For: STUDENT LOAN CONSOLIDATION  
QUALIFICATION SYSTEM AND  
METHOD OF OPERATION THEREOF

Patent No.: 7,574,401

Issue Date: August 11, 2009

Atty. Dkt. No.: UHGC:1104US

Confirmation No.: 2809

**CERTIFICATE OF ELECTRONIC TRANSMISSION**

I hereby certify that this correspondence is being  
electronically filed with the United States Patent and  
Trademark Office via EFS-Web on the date below:

September 23, 2009  
Date

  
S. Scott Gordon

**PETITION UNDER 37 C.F.R. § 3.81(b)**  
**TO CORRECT ASSIGNEE IN ISSUED PATENT**

**MAIL STOP PETITION**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 3.81(b) and MPEP § 1481, Applicants respectfully request the  
grant of this petition to correctly depict the assignee's name on the above-referenced patent.

Due to a clerical error, Applicants' representative inadvertently entered incorrect assignee information under item 3 of Form PTOL-85B at the time of payment of the Issue Fee. Arthur Dale Burns was listed as the Assignee on the Issue Fee Transmittal form. However, the assignee of this patent is UnitedHealth Group Incorporated.

The Notice of Recordation of Assignment Document indicates that an Assignment from Assignor ARTHUR DALE BURNS to Assignee STUDENT RESOURCES, A DIVISION OF THE MEGAL LIFE AND HEALTH INSURANCE COMPANY was recorded on June 26, 2000, at reel/frame 010897/0705, before issuance of this patent. A copy of this document is attached hereto as Exhibit A.

The Notice of Recordation of Assignment Document indicates that an Assignment from Assignor STUDENT RESOURCES, A DIVISION OF THE MEGAL LIFE AND HEALTH INSURANCE COMPANY to Assignee UNITEDHEALTH GROUP INCORPORATED was recorded on January 9, 2009, at reel/frame 022084/0700, before issuance of this patent. A copy of this document is attached hereto as Exhibit B.

Therefore the correct names of the assignee should appear as follows:

UnitedHealth Group Incorporated  
9900 Bren Road East  
Minnetonka, Minnesota 55343

It is requested that, if this petition is granted, the file be forwarded to the Certificate of Correction Branch for issuance of an appropriate Certificate of Correction.

In accordance with 37 C.F.R. §§ 1.17(i) and 1.20(a), a petition fee in the amount of \$130.00 and a certificate of correction fee in the amount of \$100 are being charged to a credit card through EFS-Web concurrently with this submission. If the payment is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason

relating to the enclosed materials, the Commissioner is authorized to deduct said fees from  
Fulbright & Jaworski Deposit Account No. 50-1212/UHGC:1104US.

Respectfully submitted,



S. Scott Gordon  
Reg. No. 57,294  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Ave, Ste. 2400  
Austin, Texas 78701  
512.536.3035 (voice)  
512.536.4598 (fax)

Date: September 23, 2009

# **Exhibit A**

DOCKET



STUD-0051

SEP 05 2000

JLH

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 28, 2000

PTAS

HITT CHWANG & GAINES, P.C.  
JIMMY L. HEISZ  
P.O. BOX 832570  
RICHARDSON, TEXAS 75083



\*101399981A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/26/2000

REEL/FRAME: 010897/0705  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BURNS, ARTHUR DALE

DOC DATE: 06/06/2000

ASSIGNEE:

STUDENT RESOURCES, A DIVISION OF  
THE MEGA LIFE AND HEALTH  
INSURANCE COMPANY  
300 FIRST AVENUE SOUTH, SUITE 400  
ST. PETERSBURG, FLORIDA 33701-4226

SERIAL NUMBER: 09603510  
PATENT NUMBER:

FILING DATE: 06/26/2000  
ISSUE DATE:

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

MRD 6/26/00

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

07-11-2000



101399981

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**U.S. PRO  
09/603510**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**☐ Mark if additional names of conveying parties attached

Name (line 1) Arthur Dale Burns

Execution Date  
Month Day Year  
06/06/2000Name (line 2) **Second Party**Name (line 1) Execution Date  
Month Day YearName (line 2) **Receiving Party**☒ Mark if additional names of receiving parties attached

Name (line 1) Student Resources, a division of The MEGA Life and

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) Health Insurance Company, a Corporation of Oklahoma

Address (line 1) 300 First Avenue South, Suite 400

Address (line 2) 

Address (line 3) St. Petersburg

Florida

33701-4226

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) **FOR OFFICE USE ONLY**

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

Area Code and Telephone Number (972) 480-8800

Name Jimmy L. Heisz

Address (line 1) Hitt Chwang &amp; Gaines, P.C.

Address (line 2) P.O. Box 832570

Address (line 3) Richardson, Texas 75083

Address (line 4)

**Pages**Enter the total number of pages of the attached conveyance document  
including any attachments.

# 4

**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

N/A

**Patent Number(s)**

09/603510

If this document is being filed together with a new Patent Application, enter the date the patent application was  
signed by the first named executing inventor.Month Day Year  
06 06 2000**Patent Cooperation Treaty (PCT)**Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.PCT PCT PCT  
PCT PCT PCT**Number of Properties**

Enter the total number of properties involved. # 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit AccountEnclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 08-2395

Authorization to charge additional fees:

Yes



No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.

Jimmy L. Heisz

Name of Person Signing

Signature

06/26/2000

Date

# **Exhibit B**



TO: S. SCOTT GORDON COMPANY, 600 CONGRESS AVENUE



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 09, 2009

PTAS

S. SCOTT GORDON  
600 CONGRESS AVENUE  
SUITE 2400  
AUSTIN, TX 78701

\*500750725\*

FULBRIGHT &amp; JAWORSKI, LLP

IPT DOCKETING

Docketed ☒ Not Req'd ☐ Confirmation ☐Initials 1st CR Initials 2nd \_\_\_\_\_

JAN 09 2008

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

RJG/MCB/SSG/PP6

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

Docket No. AO-UHGC:1104US  
Action Req'd \_\_\_\_\_ Date Due \_\_\_\_\_

Record in RCB

AO-UHGC:1105US:  
abandoned

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

AO-UHGC:1106US:

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AO-UHGC:1107US

Let Lapse

RECORDATION DATE: 01/09/2009

REEL/FRAME: 022084/0700

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: UHGC:1104,1105,1106,1107

## ASSIGNOR:

STUDENT RESOURCES, A DIVISION OF  
THE MEGA LIFE AND HEALTH  
INSURANCE COMPANY

DOC DATE: 12/17/2008

## ASSIGNEE:

UNITEDHEALTH GROUP INCORPORATED  
9900 BREN ROAD EAST  
MINNETONKA, MINNESOTA 55343

SERIAL NUMBER: 09603510

FILING DATE: 06/26/2000

PATENT NUMBER:

ISSUE DATE:

TITLE: STUDENT LOAN CONSOLIDATION QUALIFICATION SYSTEM AND METHOD OF  
OPERATION THEREOF

TO: S. SCOTT GORDON COMPANY 200 CONGRESS AVENUE

022084/0700 PAGE 2

SERIAL NUMBER: 09666711 FILING DATE: 09/21/2000  
PATENT NUMBER: ISSUE DATE:  
TITLE: ONLINE INSURANCE ENROLLMENT WAIVER SYSTEM AND METHOD OF OPERATION  
THEREOF

SERIAL NUMBER: 09874814 FILING DATE: 06/05/2001  
PATENT NUMBER: ISSUE DATE:  
TITLE: LEAD QUALIFICATION SYSTEM FOR STUDENT LOAN CANDIDATES AND METHOD OF  
OPERATION THEREOF

SERIAL NUMBER: 09945386 FILING DATE: 08/31/2001  
PATENT NUMBER: ISSUE DATE:  
TITLE: STUDENT HEALTH INSURANCE PROCUREMENT SYSTEM FOR EDUCATIONAL  
INSTITUTIONS AND METHOD OF OPERATION THEREOF

ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

TO: S. SCOTT GORDON COMPANY, 600 CONGRESS AVENUE

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.101/09/2009  
500750725

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Student Resources, a division of The MEGA Life and Health Insurance Company	12/17/2008
RECEIVING PARTY DATA	
Name:	UnitedHealth Group Incorporated
Street Address:	9900 Bren Road East
City:	Minnetonka
State/Country:	MINNESOTA
Postal Code:	55343
PROPERTY NUMBERS Total: 4	
Property Type	Number
Application Number:	09603510
Application Number:	09666711
Application Number:	09874814
Application Number:	09945386
CORRESPONDENCE DATA	
Fax Number:	(512)536-4598
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	(512) 474-5201
Email:	jtrumpler@fulbright.com
Correspondent Name:	S. Scott Gordon
Address Line 1:	600 Congress Avenue
Address Line 2:	Suite 2400
Address Line 4:	Austin, TEXAS 78701
ATTORNEY DOCKET NUMBER:	UHGC:1104,1105,1106,1107
NAME OF SUBMITTER:	S. Scott Gordon

OP \$160.00 09603510

TO: S. SCOTT GORDON COMPANY CONGRESS AVENUE

**Total Attachments: 4**

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